



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76587

Kouji TAKAHASHI, et al.

Appln. No.: 10/619,181

Group Art Unit: 1775

Confirmation No.: 4972

Examiner: Ms. Elizabeth Ivy

Filed: July 15, 2003

For:

GLASS SUBSTRATE FOR A MASK BLANK, METHOD OF PRODUCING A GLASS SUBSTRATE FOR A MASK BLANK, MASK BLANK, METHOD OF PRODUCING A MASK BLANK, TRANSFER MASK, AND METHOD OF PRODUCING A TRANSFER

MASK

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/619,181

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Applicant however

submits a Statement under 37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant submits the following explanation: English language

abstracts, submitted herewith, constitute concise statements of relevance for JP 63-114866,

JP 2002-201042, JP 48-6925 and WO 01/09680.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 25,426

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 29, 2005

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Registration No. 25,426

Alan J. Kasper

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 $\begin{array}{c} \text{Washington office} \\ 23373 \\ \text{Customer number} \end{array}$

Date: December 29, 2005

Substitute for Form 1449 A & B/PTO			Complete if Known	
			Application Number	10/619,181
o	INFORMATION DI	ISCLOSTORY E	Confirmation Number	4972
•	STATEMENT BY A	ADDITALAMITA 40 \	Filing Date	July 15, 2003
			First Named Inventor	Kouji TAKAHASHI
(use as many sheets as necessary) EC 2 9 7005			Art Unit	1775
	(400 40 1141)	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Examiner Name	Ms. Elizabeth Ivy
Sh	eet 1	of Te	Attorney Docket Number	Q76587

	U.S. PATENT DOCUMENTS						
Examiner Initials*	- C':	Document	Document Number				
	Cite No.1	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US					
		US					
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date	Name of Patentee or		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JР	63-114866	Α	05-19-1988	HOYA CORP.	Abstract
		JР	2002-201042	Α	07-16-2002	SHINETSU CHEMICAL CO.	Abstract
		WO	01/09680	A1	02-08-2001		Abstract
		JР	48-6925		03-1-1973		Abstract
							}

NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		

E	Date Considered	
Examiner Signature		1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.